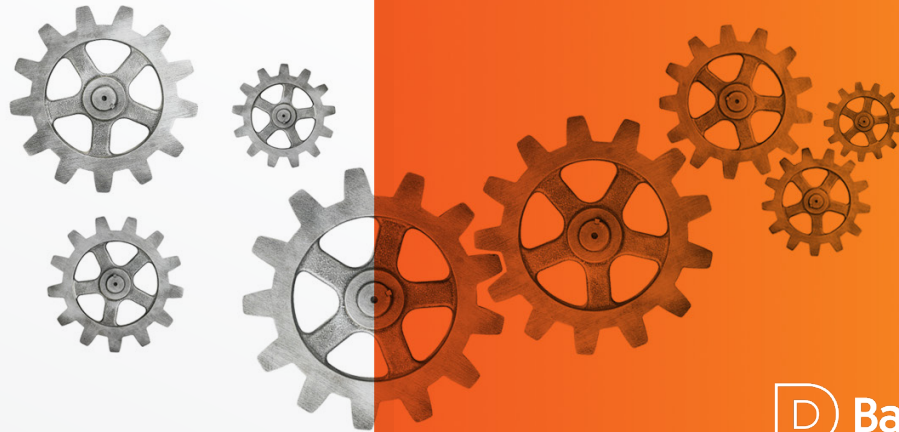


# AML 360°

# Assessment



## How effective is your AML compliance? Benchmarking and Best Practices

Regulators are handing out record fines for Anti-Money Laundering [AML] failures; boards need assurance that they have implemented and operate comprehensive checks and controls.

Baker Regulatory's AML 360° gives firms immediate feedback on their AML checks, controls and oversight:

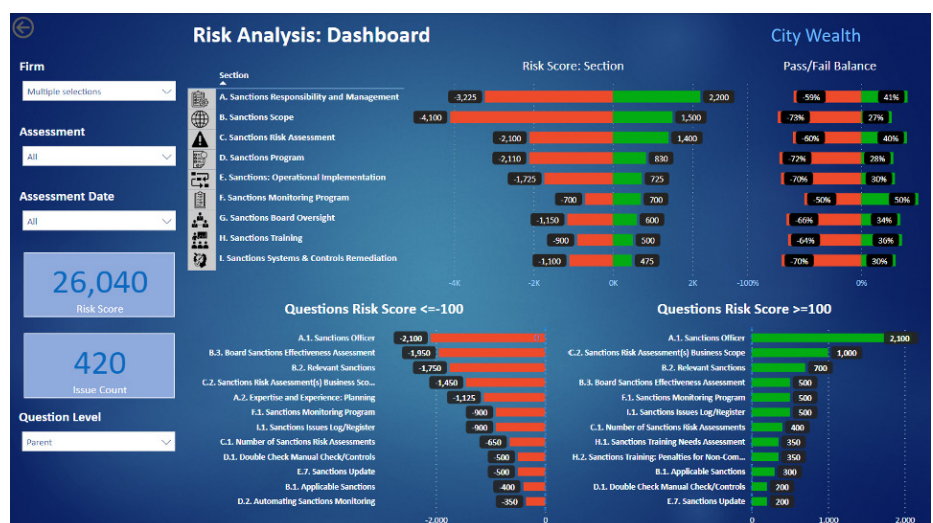
**AML Benchmarking** – Risk score potential weaknesses to help firms focus on the most material risks and measure improvements.

**Best Practices** – All potential weaknesses identified are linked to Best Practice recommendations.

### AT A GLANCE

### Benchmarking

- Board Oversight
- AML Management
- Operational Implementation (KYC, PEPs, transaction monitoring, etc.)
- Resourcing (personnel, technology, data)
- Training
- Monitoring and Reporting



For more information about our AML 360° Assessment, please contact **Barry Faudemer**:

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*"A poor AML culture cannot be fixed solely with systems and controls. Culture comes first."*  
- Barry Faudemer, Chief Executive of Baker Regulatory Services